

**The FY 2008
Minneapolis**

HUD CONSOLIDATED PLAN
for Housing and Community Development

**Amendment #3
June 5, 2009**

**Community Development Block Grant
(CDBG-R)**

**Funded through the American Recovery and
Reinvestment Act of 2009**



CDBG-R SUBMISSION TEMPLATE **& CHECKLIST**

The American Recovery and Reinvestment Act of 2009 ("Recovery Act") was signed into law by President Obama on February 17, 2009. The Recovery Act awards \$1 billion in CDBG Recovery (CDBG-R) funds to be distributed to cities, counties, insular areas and states, of which \$10 million has been reserved by HUD for its administrative costs and \$10 million of which will be awarded to Indian tribes. Recipients of the remaining \$980 million of CDBG-R funds will be the approximately 1,200 jurisdictions that received CDBG funding in Fiscal Year 2008. This template sets forth the suggested format for grantees receiving funds from CDBG-R. A complete submission contains the information requested below, including:

- (1) The CDBG-R Substantial Amendment (template attached below)
- (2) Spreadsheet for Reporting Proposed CDBG-R Activities (see <http://www.hud.gov/recovery>)
- (3) Signed and Dated Certifications (see <http://www.hud.gov/recovery>)
- (4) Signed and Dated [SF-424](#).

Grantees should also attach a completed CDBG-R Substantial Amendment Checklist to ensure completeness and efficiency of review (attached below).

THE CDBG-R SUBSTANTIAL AMENDMENT

Jurisdiction(s): City of Minneapolis	CDBG-R Contact Person: Matt Bower
Jurisdiction Web Address:	Address: 301M City Hall, 350 South Fifth St., Minneapolis, MN 55415
• www.ci.minneapolis.mn.us/grants	Telephone: (612) 673-2188
	Fax: (612) 673-3250
	Email:
	matthew.bower@ci.minneapolis.mn.us

ENSURING RESPONSIBLE SPENDING OF RECOVERY ACT FUNDS

Funding available under the Recovery Act has clear purposes – to stimulate the economy through measures that modernize the Nation’s infrastructure, improve energy efficiency, and expand educational opportunities and access to health care. HUD strongly urges grantees to use CDBG-R funds for hard development costs associated with infrastructure activities that provide basic services to residents or activities that promote energy efficiency and conservation through rehabilitation or retrofitting of existing buildings. While the full range of CDBG activities is available to grantees, the Department strongly suggests that grantees incorporate consideration of the public perception of the intent of the Recovery Act in identifying and selecting projects for CDBG-R funding.

A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES

Grantees must provide information concerning CDBG-R assisted activities in an electronic spreadsheet provided by HUD. The information that must be reported in the spreadsheet includes activity name, activity description, CDBG-R dollar amount budgeted, eligibility category, national objective citation, additional Recovery Act funds for the activity received from other programs, and total activity budget. An electronic copy of the spreadsheet and the format is available on HUD’s recovery website at <http://www.hud.gov/recovery>.

B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)

- (1) **Activity Name:** (Grantees should follow the same order that activities are listed in the Spreadsheet for Reporting Proposed CDBG-R Activities – this will allow HUD to easily match activity narratives with the information provided in the spreadsheet.)

- (2) **Activity Narrative:**
In addition to the Spreadsheet for Reporting Proposed CDBG-R Activities, grantees must provide a narrative for each activity describing how the use of the grantee’s CDBG-R funds will meet the requirements of Title XII of Division A and Section 1602 of ARRA. The grantee’s narrative must also state how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act, by:
 - Preserving and creating jobs and promoting economic recovery;
 - Assisting those most impacted by the recession;
 - Providing investment needed to increase economic efficiency;
 - Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits;
 - Minimizing or avoiding reductions in essential services; or
 - Fostering energy independence.

- (3) **Jobs Created:** (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs)).

- (4) **Additional Activity Information:** (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

- (5) **Responsible Organization:** (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information)

Activity Name: **Minnesota Shubert Performing Arts & Education Center**

Activity Narrative: Rehabilitation of the Shubert Theater supports the CDBG performance measure to expand economic opportunities. There will be 48 permanent full-time jobs and 101 construction jobs generated by this project. Of the 48 permanent jobs, 38 will be at Minnesota Shubert and 10 from core Shubert partners. Shubert will enter into agreements with three local workforce organizations to identify, review, and develop job candidates from low-income neighborhoods in Minneapolis to fill 38 jobs that have low barriers to entry (ushers, ticket staff). The CDBG-R investment will permit this project to start by fall 2009 by providing the final gap funding to project. This project meets the CDBG-R national objective of Low/Mod Jobs Benefit. The project meets the Recovery Act criteria of preserving and creating jobs and promoting economic recovery, and Investing in infrastructure that will provide long-term economic benefits.

(Additional Recovery Funds to be applied for: Economic Development Administration Public Works and Economic Development Facilities Program grant)

Jobs Created: 43 new full-time permanent FTE jobs, 5 retained FTE jobs and 101 construction jobs created.

Additional Activity Information: This project will conserve a historic building and use green building features such as energy efficient electrical and mechanical systems, green interior materials, and a white-coated roofing membrane to reduce urban "heat island" effect. The building's adjacent location and pedestrian connections to a light rail transit station promote transit oriented development and smart growth.

Responsible Organization: City of Minneapolis, Community Planning and Economic Development, 105 Fifth Avenue South #200, Minneapolis, MN 55401, Miles Mercer, 612-673-5043 or miles.mercer@ci.minneapolis.mn.us ; Subrecipient: Colin Hamilton, Minnesota Shubert Performing Arts and Education Center, 528 Hennepin Ave. S., Suite 303; Minneapolis, MN 55403

Activity Name: **Lead Hazard Reduction**

Activity Narrative: CDBG-R funds will make residential units lead safe that would otherwise remain a lead hazard. Making residential units lead safe supports the CDBG performance measures to provide decent housing and suitable living environments. This project will replace windows in the Phillips, Central and Powderhorn neighborhoods (all within CDBG target areas). There will be 3 construction jobs involved in project. These positions will consist of recalled laid-off workers. Three Sentence to Serve (STS) individuals will be trained as journeymen carpenters to work on the properties. STS is a work release from prison program that trains individuals as union journeymen carpenters. The funding will provide a local match to a HUD Lead Hazard Control Grant of \$3 million which has been difficult to raise in the current economic environment for low-income property owners. The project can be implemented immediately. This project meets the CDBG-R national objective of Low/Mod Housing. The project addresses the Recovery Act criteria of Assisting those most impacted by the recession, and Investing in transportation, environmental protection, or other infrastructure that will provide long-term benefits.

Jobs Created: 3 construction jobs retained.

Additional Activity Information: The replacement windows are energy star rated and locally manufactured. The work will further reduce the incidence of lead hazards in Minneapolis housing stock.

Responsible Organization: City of Minneapolis, Regulatory Services, 250 South 4th Street, Room 414, Minneapolis, MN 55415, Lisa Smestad, 612-673-3733 or lisa.smestad@ci.minneapolis.mn.us

Activity Name: TenKsolar Manufacturing Facility

Activity Narrative: TenKsolar is poised to manufacture a new generation of high-tech and low-cost solar panels -- creating new, well-paying "green" manufacturing jobs in a low-income community in Minneapolis, stimulating the local economy, and addressing climate change by expanding the market for clean, renewable energy. This project supports the CDBG performance measure of expanding economic opportunities. TenKsolar will launch a full-scale solar panel production operation in 2009-10 that will create over 100 manufacturing, sales and R&D jobs by mid-2010 and a total of 360 jobs by 2011. Approximately seventy percent of the 360 jobs will be in manufacturing (252 jobs). The manufacturing jobs will need to be offered first to Minneapolis low and moderate-income residents as a condition of the CDBG-R assistance. 30 construction jobs are anticipated with this project. The project is working with City development staff on site selection and anticipates being able to begin rehabilitation/redevelopment by end of 2009. This project meets the CDBG-R national objective of Low/Mod Jobs Benefit. This project addresses the Recovery Act criteria of Preserving and creating jobs and promoting economic recovery; Providing investment needed to increase economic efficiency; Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits; and Fostering energy independence.

(Additional Recovery Funds to be applied for: DOE Loan Guarantee Program and the Treasury Department's Renewable Energy Grant (30% Cash Funding) Program)

Jobs Created: 360 full-time jobs and 30 construction jobs created.

Additional Activity Information: This project will provide products for renewable energy and green building technologies.

Responsible Organization: City of Minneapolis, Community Planning and Economic Development, 105 Fifth Avenue South #200, Minneapolis, MN 55401, Emily Stern, 612-673-5191 or emily.stern@ci.minneapolis.mn.us; Subrecipient: Joel Cannon, tenKsolar, 9549 Penn Ave. S., Suite D, Bloomington MN 55431

Activity Name: Van White Memorial Boulevard Roadway & Bridge Construction

Activity Narrative: While one focus of the Heritage Park redevelopment is construction of mixed-income housing, the Hollman vs. Cisneros Consent Decree also called for major transportation work to connect isolated communities to the broader Minneapolis community. The CDBG-R funding will assist in the completion of the Van White Memorial Bridge and Roadway project supporting the CDBG performance measures of providing a suitable living environment and expansion of economic opportunities. This project is an integral element of the \$225 million Heritage Park redevelopment project. The boulevard's construction is the most significant strategy at ending the isolation and economic hardship experienced by Minneapolis' Near Northside residents. The boulevard will be a catalyst in preserving construction jobs, removing stigmatization to Near Northside development, cleaning up century-old pollution contamination, attracting businesses, and enhancing green space opportunities. Its construction will spur private investment and allow easy access to jobs, schools, and cultural activities throughout the City. This project work is scheduled for a construction start at end of Summer 2009. This project meets the CDBG-R national objective of Low/Mod Area Benefit. The project addresses the Recovery Act by Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits.

Jobs Created: 300 construction jobs are anticipated to be created with this work.

Additional Activity Information: This project will promote smart growth by connecting a once isolated community to the entire Minneapolis community.

Responsible Organization: City of Minneapolis, Community Planning and Economic Development, 105 Fifth Avenue South #200, Minneapolis, MN 55401, Darrell Washington, 612-673-5174 or darrell.washington@ci.minneapolis.mn.us

Activity Name: Fire Station 20 Reroofing

Activity Narrative: Fire Station 20 is in need of a new roof. CDBG-R funding provides an opportunity to provide one-time assistance to undertake this project. The reroofing project meets the CDBG performance measure of providing a suitable living environment. The project will use a white roof/membrane design that will maximize energy savings while providing a responsible long-term roofing solution. This building is well suited for this type of roof. This project is part of the City of Minneapolis Property Services' work plan and can be implemented immediately. This project meets the CDBG-R national objective of Low/Mod Area Benefit. The project addresses the Recovery Act criteria of Providing investment needed to increase economic efficiency; Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits; and Fostering energy independence.

Jobs Created: 2 construction jobs created.

Additional Activity Information: Green building technologies will be used - a white roof/membrane design that will maximize energy savings while providing a responsible long-term roofing solution. This will also result in energy conservation. The project is part of a wider City of Minneapolis plan for retrofitting municipal buildings for energy efficiency and conservation.

Responsible Organization: City of Minneapolis Public Works, 661 5th Avenue North, Minneapolis, MN 55405, Gary Modlin, 612-673-2721 or gary.modlin@ci.minneapolis.mn.us

Activity Name: Chicago Avenue Fire Arts Center

Activity Narrative: To finance construction costs for renovation and build-out of an arts education, studio, and gallery space. The project serves the CDBG performance measures around expansion of economic opportunities and provision of suitable living environment. The project is proposed for a vacant building at the 38th and Chicago Commercial Node. The CDBG-R investment will permit this project to start by fall 2009, providing additional gap funding to finance the project. This project will likely begin in 2009. This project meets the CDBG-R national objective of Low/Mod Area Benefit. The project meets the Recovery Act criteria of Preserving and creating jobs and promoting economic recovery, and Investing in infrastructure that will provide long-term economic benefits.

Jobs Created: 2 full-time jobs, 15 part-time jobs and 30 construction jobs created. The approximately 15 part-time jobs will be instructors working roughly 4 hours per week.

Additional Activity Information: Energy conservation will be maximized through (1) renovation of an existing building, (2) use of recovered fixtures and materials, (3) energy efficient doors, windows, and water heater, and (4) future use of the building for arts practices that recycle existing materials into new forms.

Responsible Organization: City of Minneapolis, Community Planning and Economic Development, 105 Fifth Avenue South #200, Minneapolis, MN 55401, Rebecca Parrell, 612-673-5018 or rebecca.parrell@ci.minneapolis.mn.us; **Subrecipient:** Greg Handberg, Artspace Projects, Inc.; 250 3rd Ave. N., Suite 500; Minneapolis, MN 55401

Activity Name: **Winterization of Condemned/Boarded Properties**

Activity Narrative: To winterize condemned and boarded properties in the City of Minneapolis Vacant Building Registration Program. Winterization will include draining of plumbing pipes, preparation of water based heating systems, disconnect of City water service, electric and gas utilities and properly re-securing premises. This project meets the CDBG performance measure of sustainability of affordable housing. This work will address immediate safety hazards to these private, disinvested properties and seek to preserve structural integrity for future reinvestment. In effect, the winterization activities will permit the house to retain value for potential rehabilitation and preserve the housing stock. Approximately 4-5 new trade jobs in the private sector will be created due to this project. The project could start immediately upon receipt of CDBG-R funding. This project meets the CDBG-R national objective of Low/Mod Area Benefit. The project addresses the Recovery Act by Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits.

Jobs Created: 4 full-time jobs created.

Additional Activity Information: This project can assist in reducing pollution and resource conservation by preventing water pipes from breaking - leading to water loss and damaged property. The winterization work also assists in keeping residential properties sustainable for future reinvestment.

Responsible Organization: City of Minneapolis, Regulatory Services, 250 South 4th Street, Room 414, Minneapolis, MN 55415, Thomas Deegan, 612-673-3310 or thomas.deegan@ci.minneapolis.mn.us

Activity Name: **Greater Minneapolis Council of Churches HandyWorks**

Activity Narrative: This project assists low-income and vulnerable seniors 65 and older in Minneapolis to remain independent in their own homes by providing minor home repairs and other home maintenance chores. These services meet the CDBG performance measure of sustainability of suitable living environments. One full-time equivalent intermittent staff primarily for outdoor work, plus volunteers, providing 2,630 hours of service will deliver these services to 225 eligible clients annually. This project meets the CDBG-R national objective of Low/Mod Income (Limited Clientele). The project addresses the Recovery Act criteria of Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits, and Assisting those most impacted by the recession.

Jobs Created: 1 full-time job retained for intermittent work

Additional Activity Information: Although the primary goal is to retain senior citizens living safely in their own homes the project will also promote energy conservation and reduced pollution through well maintained properties.

Responsible Organization: City of Minneapolis, Health and Family Support, 250 South 4th Street, Room 510, Minneapolis, MN 55415, Becky McIntosh, 612-673-2884 or becky.mcintosh@ci.minneapolis.mn.us; Subrecipient: Megan Nolan-Elliasen, Greater Minneapolis Council of Churches, 1001 E. Lake St., Minneapolis, MN 55407

Activity Name: **Minneapolis Housing Services**

Activity Narrative: To assist low-income residents impacted by the economy, primarily foreclosure and related housing services (utilities, repairs, general tenant rights and responsibilities). The CDBG performance measures of affordability and availability of housing is served by this project. Two Housing Service Advocates will provide critical crisis housing advice and referrals to an average of 1,000 Minneapolis residents/month. 2 permanent jobs will be retained. This project meets the CDBG-R national objective of Low/Mod Income (Limited Clientele). The project addresses the Recovery Act criteria of Assisting those most impacted by the recession, and Minimizing or avoiding reductions in essential services.

(Additional Recovery Funds have been applied for: HUD Homelessness Prevention and Rapid Re-Housing Program)

Jobs Created: 2 full-time jobs retained.

Additional Activity Information: This project does not address environmental goals. However, this project does assist Minneapolis residents impacted by the foreclosure crisis.

Responsible Organization: City of Minneapolis, Community Planning and Economic Development, 105 Fifth Avenue South #200, Minneapolis, MN 55401, Cherie Shoquist, 612-673-5078 or cherie.shoquist@ci.minneapolis.mn.us

C. PUBLIC COMMENT

Provide a summary of public comments received to the proposed CDBG-R Substantial Amendment.

Note: A Proposed CDBG-R Substantial Amendment must be published via the usual methods and posted on the jurisdiction's website for no less than 7 calendar days for public comment.

Response:

The public comment period for the 2008 Consolidated Plan Amendment #3 – CDBG-R application to HUD was May 29- June 4, 2009. The Amendment #3 was made available for review online May 29 at www.ci.minneapolis.mn.us/grants. Copies of the amendment were also made available for review at the Office of Grants & Special Projects (307M City Hall), at all Minneapolis public libraries and offices of Legal Aid Society. A public notice was published in the May 27 Finance & Commerce and mailed to the City's Consolidated Plan mailing list.

The proposed project recommendations were presented to a joint committee meeting of the Community Development and Ways & Means/Budget Committees.

Public comments were received around two issues.

- Support for the investment in the Shubert Performing Arts & Education Center
- Substituting CDBG-R funds for the City's Emergency Shelter Grant capital rehab investments freeing up ESG funds for operating costs for emergency shelters, transitional housing programs and youth homeless programs

After June 5, 2009 submission of the Amendment to HUD, the Amendment #3 will remain on the City's website at www.ci.minneapolis.mn.us/grants .

CDBG-R Substantial Amendment Grantee Checklist

For the purposes of expediting review, HUD asks that applicants submit the following checklist along with the CDBG-R Substantial Amendment, Spreadsheet for Reporting Proposed CDBG-R Activities, and SF-424.

Contents of a CDBG-R Action Plan Substantial Amendment

Jurisdiction(s): <u>City of Minneapolis</u> Lead Agency Office of City Coordinator Jurisdiction Web Address: <u>www.ci.minneapolis.mn.us/grants</u>	CDBG-R Contact Person: <u>Matt Bower</u> Address: <u>301M City Hall, 350 South Fifth St., Minneapolis, MN 55415</u> Telephone: <u>(612) 673-2188</u> Fax: <u>(612) 673-3250</u> Email: <u>matthew.bower@ci.minneapolis.mn.us</u>
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The elements in the substantial amendment required for the CDBG recovery funds are:

A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES

Does the submission contain a paper copy of the Spreadsheet for Reporting Proposed CDBG-R Activities?

Yes No Verification found on page **CDBG-R Reporting Spreadsheet**

Does the submission include an electronic version of the Spreadsheet for Reporting Proposed CDBG-R Activities sent to the email box CDBG-R@hud.gov?

Yes No Date Spreadsheet was emailed: **6/5/09**

Does the Spreadsheet for Reporting Proposed CDBG-R Activities include, for each activity:

- amount of funds budgeted for each activity, including CDBG-R funds, any additional Recovery Funds used and total activity budget,
 Yes No Verification found on page(s) **CDBG-R Reporting Spreadsheet**
- the Eligibility citation (eligibility regulatory cite or HCDA cite),
 Yes No Verification found on page(s) **CDBG-R Reporting Spreadsheet**
- the CDBG national objective citation,
 Yes No Verification found on page(s) **CDBG-R Reporting Spreadsheet**

B. CDBG-R INFORMATION BY ACTIVITY

Does the submission contain information by activity describing how the grantee will use the funds, including:

- a narrative for each activity describing how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit,
Yes No Verification found on page(s) **pp. 5-13**
- projected number of jobs created for each activity,
Yes No Verification found on page(s) **pp. 5-13**
- whether an activity will promote energy efficiency and conservation,
Yes No Verification found on page(s) **pp. 5-13**
- the name, location, and contact information for the entity that will carry out the activity,
Yes No Verification found on page(s) **pp. 5-13**
- evidence that no more than 10% of the grant amount will be spent on administration and planning,
Yes No Verification found on page (s) **No amount budgeted for Administration & Planning**
- evidence that no more than 15% of the grant amount will be spent on public services,
Yes No Verification found on page (s) **CDBG Reporting Spreadsheet (page 2)**
- evidence that at least 70% of the grant amount will benefit persons of low and moderate income,
Yes No Verification found on page (s) **CDBG Reporting Spreadsheet (page 2)**

C. PUBLIC COMMENT PERIOD

Was the proposed action plan amendment published via the jurisdiction’s usual methods and on the Internet for no less than 7 calendar days of public comment?

Yes No Verification found on page(s) **Page 14**

Is there a summary of citizen comments included in the final amendment?

Yes No Verification found on page(s) **Page 14**

D. CERTIFICATIONS

The following certifications are complete and accurate:

- | | | |
|---|---|-----------------------------|
| (1) Affirmatively furthering fair housing | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (2) Anti-displacement and relocation plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (3) Drug-free Workplace | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (4) Anti-lobbying | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (5) Authority of jurisdiction | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |

- | | | |
|--|---|-----------------------------|
| (6) Consistency with plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (7) Section 3 | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (8) Community development plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (9) Following a plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (10) Use of Funds | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (11) Excessive Force | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (12) Compliance with anti-discrimination laws | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (13) Lead-based paint procedures | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (14) Compliance with laws | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (15) Compliance with ARRA | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (16) Project selection | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (17) Timeliness of infrastructure investments | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (18) Buy American provision | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (19) Appropriate use of funds for infrastructure investments | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (20) 70% of CDBG-R for LMI | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| Optional Certification | | |
| (21) Urgent Need | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

D. STATE CERTIFICATIONS

The following certifications are complete and accurate:

- | | | |
|--|------------------------------|-----------------------------|
| (1) Affirmatively furthering fair housing | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Anti-displacement and relocation plan | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Drug-free Workplace | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (4) Anti-lobbying | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (5) Authority of State | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (6) Consistency with plan | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (7) Section 3 | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (8) Community development plan | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (9) Consultation with Local Governments | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (10) Use of Funds | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (11) Excessive Force | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (12) Compliance with anti-discrimination laws | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (13) Compliance with laws | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (14) Compliance with ARRA | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (15) Project selection | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (16) Timeliness of infrastructure investments | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (17) Buy American provision | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (18) Appropriate use of funds for infrastructure investments | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (19) 70% of CDBG-R for LMI | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| Optional Certification | | |
| (20) Urgent Need | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

Application for Federal Assistance SF-424

Version 02

*1. Type of Submission:

- Preapplication
- Application
- Changed/Corrected Application

*2. Type of Application

- New
- Continuation
- Revision

* If Revision, select appropriate letter(s)

*Other (Specify) _____

3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

*5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

*a. Legal Name: City of Minneapolis

*b. Employer/Taxpayer Identification Number (EIN/TIN):

41-6005375

*c. Organizational DUNS:

066530411

d. Address:

*Street 1: 350 South Fifth St

Street 2: 301 M City Hall

*City: Minneapolis

County: Hennepin

*State: MN

Province: _____

*Country: USA

*Zip / Postal Code 55415

e. Organizational Unit:

Department Name:

Office of City Coordinator

Division Name:

IGR-Office of Grants & Special Projects

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Mr. *First Name: Matt

Middle Name: _____

*Last Name: Bower

Suffix: _____

Title: Project Coordinator

Organizational Affiliation:

Intergovernmental Relations Department- Office of Grants & Special Projects

*Telephone Number: 612-673-2188

Fax Number: 612-673-3250

*Email: matthew.bower@ci.minneapolis.mn.us

Application for Federal Assistance SF-424

Version 02

***9. Type of Applicant 1: Select Applicant Type:** ...

C. City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*Other (Specify)

***10 Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.253 _____

CFDA Title:

Community Development Block Grant ARRA Entitlement Grants (CDBG-R) _____

***12 Funding Opportunity Number:**

N/A _____

*Title:

N/A _____

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

City of Minneapolis, Hennepin County, State of Minnesota

***15. Descriptive Title of Applicant's Project:**

Minneapolis 2008 Consolidated Plan Amendment #3, application for Community Development Block Grant ARRA Entitlement Grant (CDBG-R). Funds will support projects in the City of Minneapolis that address Recovery Act goals.

Application for Federal Assistance SF-424

Version 02

16. Congressional Districts Of:

*a. Applicant: MN-005

*b. Program/Project: MN-005

17. Proposed Project:

*a. Start Date: 6/5/09

*b. End Date: 9/30/12

18. Estimated Funding (\$):

*a. Federal	_____	3,609,047
*b. Applicant	_____	
*c. State	_____	
*d. Local	_____	
*e. Other	_____	
*f. Program Income	_____	
*g. TOTAL	_____	3,609,047

*19. Is Application Subject to Review By State Under Executive Order 12372 Process?

- a. This application was made available to the State under the Executive Order 12372 Process for review on _____
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E. O. 12372

*20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)

- Yes No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions

Authorized Representative:

Prefix: Mr. *First Name: Steven

Middle Name: _____

*Last Name: Bosacker

Suffix: _____

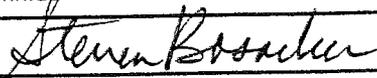
*Title: City Coordinator

*Telephone Number: 612-673-3992

Fax Number: 612-673-3250

* Email: steven.bosacker@ci.minneapolis.mn.us

*Signature of Authorized Representative:



*Date Signed: 6/5/09

CERTIFICATIONS

(1) **Affirmatively furthering fair housing.** The jurisdiction will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting the analysis and actions in this regard.

(2) **Anti-displacement and relocation plan.** The jurisdiction will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601), and implementing regulations at 49 CFR part 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under CDBG-R.

(3) **Drug Free Workplace.** The jurisdiction will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about –
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will –
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:
 - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

(4) **Anti-lobbying.** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

(5) **Authority of Jurisdiction.** The jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and other program requirements.

(6) **Consistency with Plan.** The housing activities to be undertaken with CDBG-R funds are consistent with its consolidated plan.

(7) **Section 3.** The jurisdiction will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u), and implementing regulations at 24 CFR part 135.

(8) **Community development plan.** The jurisdiction certifies that the consolidated housing and community development plan identifies housing and community development needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the statute authorizing the CDBG program.

(9) **Following a plan.** The jurisdiction is following a current consolidated plan that has been approved by HUD.

(10) **Use of funds.** The jurisdiction has developed activities so as to give the maximum feasible priority to activities that will benefit low- and moderate-income families or aid in the prevention of slums or blight. Additional activities may be included that are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs. It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG-R funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

2. **Special Assessments.** The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG-R funds are used to pay the proportion of a fee or assessment attributable to the capital costs of public improvements (assisted in part with CDBG-R funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds, unless CDBG-R funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. In addition, with respect to properties owned and occupied by moderate-income (but not low-income) families, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds if the jurisdiction certifies that it lacks CDBG-R or CDBG funds to cover the assessment.

(11) **Excessive Force.** The jurisdiction certifies that it has adopted and is enforcing: (1) a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and (2) a policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

(12) **Compliance with anti-discrimination laws.** The CDBG-R grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations.

(13) **Compliance with lead-based paint procedures.** The activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K, and R of this title.

(14) **Compliance with laws.** The jurisdiction will comply with applicable laws.

(15) **Compliance with ARRA.** The jurisdiction will comply with Title XII of Division A of the American Recovery and Reinvestment Act of 2009.

(16) **Project selection.** The jurisdiction will select projects to be funded, by giving priority to projects that can award contracts based on bids within 120 days from the date the funds are made available to the recipient, and that will ensure maximum job creation and economic benefit.

(17) **Timeliness of infrastructure investments.** When the jurisdiction uses CDBG-R funds for infrastructure investments, the grantee will give preference to quick-start and finish activities, including a goal to use at least 50 percent of the funds for activities within 120 days of enactment of the Recovery Act.

(18) **Buy American provision.** The jurisdiction will ensure that all iron, steel and manufactured goods used in construction, alteration, repair, or maintenance of a public building or public work project assisted with CDBG-R funds under the Recovery Act must be produced in the United States unless the Secretary finds that: (1) the requirement is inconsistent with public interest; (2) those goods are not reasonably available or produced in sufficient quantity in the U.S.; (3) or the use of the goods will increase the project cost by more than 25 percent.

(19) **Appropriate use of funds for infrastructure investments.** The Governor, mayor, or other chief executive, as appropriate certifies, that any infrastructure investments have received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Alternatively, a grantee's chief elected official certifies that infrastructure investments will receive the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars.

(20) **70% of CDBG-R for LMI.** The aggregate use of CDBG-R funds shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the grant is expended for activities that benefit such persons over the life of the CDBG-R grant.



Signature/Authorized Official

June 5, 2009
Date

City Coordinator
Title

CDBG-R
Activity Data Spreadsheet

Jurisdiction/Grantee Name: City of Minneapolis		CDBG-R Formula Grant Amount: \$3,609,047				Date: June 5, 2009	
Activity Name	Activity Description	Eligibility (Regulatory or HCDA Citation)	National Objective Citation	CDBG-R Project Budget (\$)	Additional Recovery Funds (\$)	Other Leveraged Funding (\$)	Total Activity Budget
Minnesota Shubert Performing Arts & Education Center	Development of Minnesota's first flagship center for dance consisting of the rehabilitation of the Shubert Theater. Provide space for 40 nonprofit organizations and 48 permanent FTE jobs. 80% of the jobs will be accessible to low- and moderate-income persons. 101 construction jobs involved with project. (Additional Recovery Funds to be applied for: Economic Development Administration Public Works and Economic Development Facilities Program grant)	570.202 (d)	570.208(a)(4)	\$2,000,000	\$0	36,000,000	38,000,000
Lead Hazard Reduction	The project will leverage a HUD Lead Hazard Control grant for making residential units lead safe, primarily by replacing windows in the Phillips, Central and Powderhorn neighborhoods (all within CDBG target areas). The replacement windows are energy star rated.	570.202 (f)	570.208 (a)(3)	\$200,000	\$0	\$3,000,000	3,200,000
TenKsolar Manufacturing Facility	TenKsolar is poised to manufacture a new generation of high-tech and low-cost solar panels -- creating new, well-paying manufacturing "green" jobs in a low-income community in Minneapolis, stimulating the local economy, and addressing climate change by expanding the market for clean, renewable energy. (Additional Recovery Funds to be applied for: Department of Energy Loan Guarantee Program and the Treasury Department's Renewable Energy Grant (30% Cash Funding) Program)	570.203(b)	570.208(a)(4)	\$284,047	\$0	\$17,715,953	18,000,000
Van White Memorial Boulevard Roadway & Bridge	Construction of Van White Memorial Boulevard bridge and roadway, creating an estimated 300 construction jobs. The bridge will link Van White Memorial Boulevard with downtown Minneapolis opening access with the Hollman Redevelopment Area (Heritage Park).	570.201 (c)	570.208 (a)(1)	\$260,000	\$0	\$13,740,000	14,000,000
Fire Station 20 Reroofing	Fire Station 20 is in need of a new roof. The expectation is to use a white roof/membrane design that will maximize energy savings while providing a responsible long-term roofing solution. This public facility is located in a low/moderate income area of the City.	570.201 (c)	570.208 (a)(1)	\$190,000	\$0	\$0	190,000
Chicago Avenue Fire Arts Center	To finance construction costs for renovation and build-out of an arts education, studio, and gallery space. The project is proposed for a vacant building at the 38th and Chicago Commercial Node. 3.5 new jobs would be created through this redevelopment. An estimated 30 construction jobs are involved with the work.	570.202 (a)(4)	570.208 (a)(1)	\$275,000	\$0	\$700,000	975,000

CDBG-R
Activity Data Spreadsheet

Jurisdiction/Grantee Name: City of Minneapolis		CDBG-R Formula Grant Amount: \$3,609,047				Date: June 5, 2009	
Activity Name	Activity Description	Eligibility (Regulatory or HCDA Citation)	National Objective Citation	CDBG-R Project Budget (\$)	Additional Recovery Funds (\$)	Other Leveraged Funding (\$)	Total Activity Budget
Winterization of Condemned/Boarded Properties	Registration Program. Winterization will include draining of plumbing pipes, preparation water based heating systems, disconnect of City water service, electric and gas utilities and properly re-securing premises. This work will address immediate safety hazards to these private, disinvested properties and seek to preserve structural integrity for future reinvestment. Four FTE trades jobs will be created.	570.201 (e)	570.208 (b)(2)	\$200,000	\$0	\$200,000	400,000
Greater Minneapolis Council of Churches HandyWorks	To assist low-income and vulnerable seniors 65 and older to remain independent in their own homes by providing minor home repairs and other home maintenance chores. This project was recommended for funding out of the Spring 2009 CDBG public service RFP process, but there was not enough funding to start project. One FTE job associated with this project.	570.201 (e)	570.208 (a)(2)	\$100,000	\$0	\$0	100,000
Minneapolis Housing Services	To assist residents impacted by the economy, primarily foreclosure and related housing services (utilities, repairs, general tenants rights and responsibilities). Two Housing Service Advocates will provide critical crisis housing advice and referrals to an average of 1000 Minneapolis residents per month. This project will also be assisted with HUD Homelessness Prevention and Rapid Re-housing funds.	570.201 (e)	570.208 (a)(2)	\$100,000	\$0	\$320,000	420,000
TOTAL				\$3,609,047	\$0	\$71,675,953	75,285,000
			Low/Mod Income Benefit	100%			
			Public Service Percentage	11%			